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The Pharmacological Role and Clinical Applications of Antipsychotics' Active Metabolites: Paliperidone versus Risperidone

Cecilio Álamo¹ and Francisco López-Muñoz^{1,2*}

¹Department of Pharmacology, Faculty of Medicine, University of Alcalá, Madrid, Spain ²Faculty of Health Sciences, Camilo José Cela University, Madrid, Spain

Abstract

Some antipsychotic drugs are metabolized in the liver, leading to active metabolites. These metabolites can maintain the effect of the original substrate or display different pharmacokinetic or pharmacodynamic properties, and that can be translated by a different profile of responses and interactions to clinical level. Among these is risperidone, whose active metabolite, 9-OH-risperidone, is known as paliperidone and has been marketed as such. In this review, we analyze the differential pharmacological aspects between risperidone and paliperidone, both from the pharmacokinetic (bioavailability, effect of CYP450 and P-glycoprotein, etc.) and pharmacodynamic perspectives (affinity for dopaminergic and/or serotonergic receptors, speed of dissociation from dopamine receptors, serotonin $5-HT_{2A}$ receptor occupancy>D₂, etc.) as well as differential electrophysiological profile and neuroprotective role. The pharmacological differences between the two drugs could explain the differential clinical response exhibited by schizophrenic patients treated with both agents, as well as some differences in tolerability profile and drug interactions.

Keywords: Active metabolites; Antipsychotic drugs; Paliperidone; Risperidone; Schizophrenia

Introduction

The synthesis of chlorpromazine in December, 1950, and the recognition of its antipsychotic properties that followed in 1952 [1,2], together with reserpine's introduction for clinical use in 1953 [3,4], are two of the foundational events that together marked the so-called "psychopharmacological revolution." Next, Paul Janssen's discovery of haloperidol's antipsychotic properties in the late 1950s and its subsequent commercialization [5,6] opened the door to a new world of hope in the treatment of psychosis, offering a selective, effective treatment for schizophrenic patients [7,8]. These options were a marked improvement from 'biological therapies', which were remedies that merely provided palliative and largely transient relief with dubious effectiveness [9].

In 1959, a Swiss company by the name of Wander selected a preparation with very specific pharmacological behavior from a series of tricyclic compounds. It did not cause catalepsy, did not influence apomorphine-induced stereotypes, and at high doses, it inhibited the escape reflex and arousal reactions through midbrain stimulation. This drug later came to be known as clozapine [10]. In light of that profile, despite several researchers' rejection of it, Jules Angst succeeded in clinically demonstrating that it was an effective antipsychotic with no extrapyramidal symptoms [11]. Paul Janssen argued that since it did not induce catalepsy, it could be considered a neuroleptic, but clinical results obliged him to admit that if clozapine was a neuroleptic at all, it was an "atypical antipsychotic" [12].

In an effort to improve upon haloperidol's pharmacological properties, Janssen discovered that pipamperone, an agent introduced in the year 1961, whose pharmacological and clinical profile was distinct from haloperidol and all other known antipsychotic drugs at the time, had considerable anti-tryptamine ability [13] and brought about resocializing effects [14]. While no connection was drawn at the time between that clinical feature and its antiserotonergic properties, some authors argue that pipamperone was the first atypical antipsychotic, albeit a premature one. In fact, when risperidone was created, Janssen suggested it was a more potent version of pipamperone. Synthesized in the year 1984, risperidone's pharmacological properties were similar to pipamperone's in that both block more serotonin than dopamine receptors; yet risperidone was three-hundred times more potent than pipamperone. Meanwhile, both drugs were stronger antagonists at those receptors than clozapine [5], which had been considered the gold standard of atypical antipsychotics. These findings laid the groundwork for the hypothesis that blocking serotonin 5-HT₂ receptors and to a lesser extent dopamine D₂ receptors, is the source of atypical antipsychotic effects [15]. From a clinical standpoint, building on that hypothesis has yielded the best results. From it most new antipsychotic agents were developed, termed in the field as "second-generation", or more commonly "atypical", antipsychotics [7,16,17], these have included clozapine, risperidone, olanzapine, ziprasidone, sertindol, quetiapine, and asenapine, among others [18].

Yet the label "atypical" encompasses a group of antipsychotics that, despite belonging to the same therapeutic class and sharing certain pharmacodynamic features, are vastly different, clinically speaking. Pharmacological differences may influence how a drug's effects manifest themselves and how long they last, whether therapeutic or adverse. Most antipsychotics are metabolized by the liver, usually producing inactive metabolites. In some cases, however, antipsychotics' biotransformation can produce active metabolites capable of sustaining the original substrate's effects, or of taking on different pharmacokinetic or pharmacodynamic properties. This has been observed experimentally "in vitro" as well as "in vivo". This can translate to a different profile of responses and interactions, clinically speaking. Of the antipsychotics in which the active metabolites have been reported (Table 1), risperidone stands out; its active metabolite, 9-OH-risperidone, has been labeled and sold as paliperidone. The following review will refer to differential

*Corresponding author: Francisco López-Muñoz, Faculty of Health Sciences, Camilo José Cela University, C/Castillo de Alarcón, 49, Urb. Villafranca del Castillo, 28692 Villanueva de la Cañada, Madrid, Spain, E-mail: francisco.lopez.munoz@gmail.com

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	Usual dose mg/day (maximal dose)	T _{max} (h)	T _{1/2} (h)	V _d (I/Kg)	F (%)	BPP (%)
Haloperidol	2-30 (100)	3-6	15-30	15-20	40-80	90-94
Clozapine	150-450 (900)	1-6	12-36	4-8	40-60	97
Risperidone	4-16 (16)	2	2,8	1-1,5	66-82	89
Olanzapine	10-30 (20)	5-8	33	10-20	60-80	93
Quetiapine	150-750 (800)	1-1,5	5,8-6,6	10	100	83
Ziprasidone	80-160	3,8-5,2	3,2-10	1,5	60	> 99
Amisulpride	400-1200 (1200)	1,5-4	12	5,8	48	16
Aripiprazole	10-30 (30)	3-5	75-94	4,9	87	99
Paliperidone	3-12 (15)	1,5	24,8	6,9	28	74

F (Bioavailability); BPP (Binding plasmatic proteins).

 Table 1: Pharmacokinetics of paliperidone vs. other atypical antipsychotic drugs and haloperidol.

characteristics of two antipsychotics: the original risperidone and its active metabolite, paliperidone.

9-OH-Risperidone, the Active Metabolite in Risperidone

Risperidone is metabolized primarily by cytochrome P450-2D6 (CYP2D6), giving way through hydroxylation to the active metabolite 9-OH-risperidone (Figure 1). Administering paliperidone, meanwhile, there is no conversion into risperidone. Early studies suggested that the actions of risperidone and its primary metabolite, 9-OH-risperidone, were pharmacologically similar, and that by administering risperidone, pharmacological activity could be attributed to the total plasma concentrations of risperidone and 9-OH-risperidone together.

Similarly, risperidone and 9-OH-risperidone are considered to have similar pharmacological activity, so presumably, the two together explain risperidone's antipsychotic component [20]. In fact, it was postulated that modifying the activity of CYP2D6 could alter the relationship between substrate and metabolite without altering overall pharmacological response, given that a decrease in 9-OH-risperidone cancels out any corresponding increase in risperidone. Applying that criterion, the presence of genetic polymorphism from CYP2D6, or of an enzyme inhibitor or inductor, is irrelevant to the final clinical outcome [21,22].

To date, several articles have demonstrated that risperidone and 9-OH-risperidone have neither the same therapeutic, pharmacological potency, nor the same toxicological activity [23]. When risperidone is administered, the results are a direct effect of that molecule as well as effects deriving from its active metabolite, 9-OH-risperidone. Bear in mind that most patients who take risperidone orally exhibit plasma levels of 9-OH-risperidone between 5 and 10 times higher than their plasma levels of risperidone [23].

We believe that simple fact is key to clinical practice; risperidone's pharmacokinetic and pharmacodynamic differences from paliperidone, which will be elaborated on in this paper, are considerable and warrant discussion. Yet as some authors argue [24], in other ways, the two agents behave similarly, both qualitatively and quantitatively.

From 9-OH-risperidone (risperidone's metabolite) to paliperidone: A different pharmacological entity

Strictly speaking, paliperidone (9-OH-risperidone) is the primary active metabolite in risperidone, having been hydroxylated at position 9 during the first phase of metabolism in the liver. This step is catalyzed mainly by isoenzyme CYP2D6, and to a lesser extent by CYP3A4 [25] (Figure 1).

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Nowadays, paliperidone is considered an atypical antipsychotic belonging to the chemical family of benzisoxazole derivatives, whose molecular formula is $C_{23}H_{27}FN_4O_3$ and whose molecular weight is 426.49. Paliperidone is a racemic mixture of two enantiomers of similar potency.

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Paliperidone was approved in the form of extended-release (Paliperidone ER) tablets by the Food and Drug Administration (FDA) on December 19, 2006 as a new therapeutic agent to treat schizophrenia under the commercial name Invega^{*}. According to the FDA's statement, it is a new molecular entity containing an active substance never before approved for commercial use in any form in the United States (Drugs@FDA, 2006). The European Commission, meanwhile, authorized the medication Invega^{*} as valid for commercial use in the entire European Union, favoring Janssen-Cilag International NV, on June 25, 2007 (Invega^{*} European Public Assessment Report. Scientific discussion, 2007). Next, the World Health Organization (WHO) deemed paliperidone (ATC N05AX13) distinct from other antipsychotics, specifically risperidone (ATC N05AX08) (The WHO Collaborating Centre for Drug Statistics Methodology ATC/DDD, 2007).

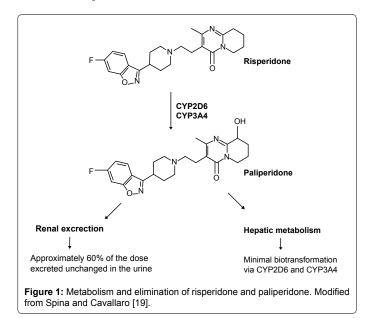
Paliperidone ER is the first oral antipsychotic to utilize the osmotic system, known as OROS^{*} (Oral Osmotic System) (Alza Corporation, Mountain View, California) or Push-Pull[™] that can control the how fast paliperidone is released [26,27]. The osmotic formulation of paliperidone ER results for plasma profile with fewer oscillations than risperidone (Figure 2).

Paliperidone Er's Differential Pharmacokinetic Profile As Compared To Oral Risperidone

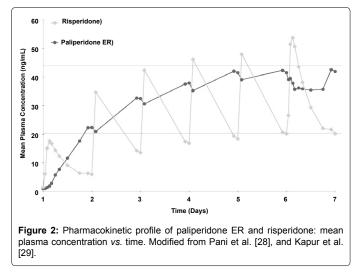
Table 1 details the main pharmacokinetic features of paliperidone ER, comparing them to risperidone and other atypical antipsychotics, and to haloperidol as a point of reference for classical neuroleptics.

Absorption

Generally speaking, antipsychotic medications are highly liposoluble, which explains their good, though not total, absorption through the digestive tract following oral ingestion, and volume of distribution (V_d) (Table 1). In addition, in passing through the liver,



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they usually undergo what is termed the first-pass effect, which causes their bioavailability to decline, but this varies widely depending on the antipsychotic (from 10% to 80%) [30].

One of the pharmacokinetic objectives of paliperidone ER is to achieve steady plasma levels with one daily dose. In other words, the aim is to have little fluctuation between peaks and troughs to minimize the occurrence of the adverse effects often linked to elevated levels of the primary active metabolite (Figure 2). Paliperidone in the form of ER tablets is pharmacokinetically linear within the recommended 3 to 12 mg dose interval. The area under the curve is proportional to the administered dose and T_{max} (time it takes to reach the highest plasma concentration of the drug) and falls within the 20 to 25-hour range for all once-daily doses [31].

In one study, healthy research volunteers (n=4) were administered one 6 mg dose of paliperidone ER. On average, their highest plasma concentration (C_{max}) was 11.7 ng/mL, the time it took to reach that maximum concentration was 25.1 hours, and their ABC from 0 to 48 hours was 302 ng·h/mL [31,32]. Repeatedly administering paliperidone ER produces stable, balanced concentrations on the fourth or fifth day, the (+) enantiomer's ABC being 1.6 times greater than that of the (-) enantiomer [32]. Likewise, the index of fluctuation between maximum (peak) and minimum (trough) plasma concentrations after reaching equilibrium, is three times lower for paliperidone ER (38%) than for oral risperidone (125%). This finding, that paliperidone ER's level in the plasma fluctuates less, provides differential data to support the argument that it is more tolerable. In fact, paliperidone's gradual absorption means an initial dosage spike is not necessary in order to avoid orthostatic hypertension; in contrast, that is recommended in the case of risperidone [19].

Another interesting piece of data to take into account has to do with bioavailability. While paliperidone in solution (drug not commercialized) has almost total bioavailability, paliperidone ER's bioavailability is around 28%. This difference is likely due to the fact that a considerable amount of osmotic paliperidone is released in the colon, where there is less absorption [19]. On that point, oral risperidone possesses an absolute bioavailability of 70%, which to our understanding could begin to explain the dose ratio (2:1) employed by some clinicians in patients who have been previously treated with risperidone and are being switched to paliperidone ER [23].

On the other hand, taking paliperidone ER with food that is high in

fat and calories, compared to taking it while fasting, increases C_{max} and ABC to 50-60%; T_{max} and elimination half-life $(T_{1/2})$ are hardly affected at all [33]. This seems to be due to ingestion slowing paliperidone ER's movement in the upper part of the digestive tract, thereby increasing absorption. For that reason, patients should always take paliperidone tablets under the same conditions (with or without food) to avoid fluctuations in plasma levels of the drug.

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Metabolism

Metabolism of antipsychotic drugs in the liver is usually quite intense and complex, but in the case of risperidone, isoenzyme CYP2D6 is most critical [34,35], because it converts the drug through hydroxylation into its primary active metabolite, 9-OH-risperidone, or paliperidone [36,37].

In contrast to the case of risperidone and other antipsychotics, paliperidone's metabolism in the liver is very limited; 60% of the molecule is eliminated, unaltered, in the urine (Figure 1). The rest is eliminated in the form of urinary metabolites through dealkylation (4.6%), hydroxylation (3.8%), dehydrogenation (2.7%), and break-down of the benzisoxazole nucleus (4.1%), and a small amount in faeces. Even though in vitro studies have demonstrated that isoenzymes CYP2D6 and CYP3A4 can intervene in paliperidone metabolism, there are no in vivo data available to confirm whether or not said isoenzymes play a significant metabolic role. In a study of five healthy volunteers, after a single 1mg dose of immediate release paliperidone was taken orally, no metabolite was detected in the plasma [36,37].

Furthermore, in vitro studies of human, hepatic microsomes in subjects with genotypes UGT1A1 and UGT1A6 found that those enzymes do not influence paliperidone metabolism either [36,37].

Keep in mind that when other antipsychotic, substrates but not inhibitors of CYP450, are metabolized, their metabolism can be altered by inductors or inhibitors of isoenzymes, and pharmacokinetic interactions may occur. In the case of paliperidone, in vitro studies, conducted with human hepatic microsomes, confirmed the substance was neither a substrate nor a substantial metabolic inhibitor of medications metabolized by isoenzymes in cytochrome P450, like CYP1A2, CYP2A6, CYP2C8/9/10, CYP2D6, CYP2E1, CYP3A4, and CYP3A5 [36]. Therefore, that type of interaction is unlikely to occur.

This salient pharmacokinetic feature can be applied clinically in that paliperidone may benefit patients with liver failure, not only because it minimizes liver damage, but also because drug accumulation in the plasma is not possible for such patients [27].

Another noteworthy piece of differential data between risperidone and paliperidone lies in the presence of genetic polymorphism in the various isoforms in cytochrome P-450. CYP2D6 is subject to so-called "genetic polymorphism" considering that between 5 and 10% of racially white individuals are slow metabolizers [38]. Risperidone is a substrate of that isoenzyme, so higher levels of it will remain in the blood plasma of poorer metabolizers longer, while it will be present in lower levels and for less time in fast metabolizers.

Several clinical studies have shown that paliperidone is more stable in blood plasma than risperidone, due on the one hand to its special, extended absorption pharmaceutical mechanism, and on the other, to the fact that it is not affected by genetic changes in CYP450 [39]. On a similar note, studies by Vermeier et al. [36] have elucidated that none of paliperidone's metabolites surpass 5%, with practically no difference between fast and slow metabolizers. These data seem to suggest that paliperidone's potential for metabolic interaction It seems unlikely that drug inductors or inhibitors in these enzymatic systems will alter paliperidone's pharmacokinetics [19]. In that vein, paroxetine's (20 mg/day) influence has been examined; CYP2D6 was shown to strongly inhibit the release of paliperidone ER (3 mg) in a total sample of 60 healthy volunteers, with no clinically significant differences observed in ABC or C_{max} between groups treated with paliperidone alone, or paliperidone and paroxetine [41]. By the same token, no serious adverse effects or important clinical changes occurred in either group in terms of laboratory results, vital signs, or ECG, so beginning or ending concomitant treatment with pharmaceuticals inhibiting CYP2D6 would not seem to require any dose adjustment in paliperidone ER [41].

In contrast, several studies have reported that fluoxetine [42,43] and paroxetine [44,45] can both bring about an increase in risperidone levels, to the extent that it would be clinically relevant. Also, risperidone has a weak ability to inhibit CYP2D6 and serum levels of clozapine increase about 74% following a 2 mg daily dose of risperidone [46].

Paliperidone's lack of metabolic drug interactions was definitively established by Tomlinson's [32] study, which included data collected from several clinical trials and a total sample of 616 schizophrenic patients being treated with paliperidone ER. The sample included slow metabolizers of CYP2D6. The study concluded there were no differences in the incidence of adverse effects between patients with the slow metabolizing phenotype and those with the normal phenotype.

In light of these data, it could be concluded that one of paliperidone's most important advantages over risperidone is its minimal hepatic biotransformation, which reduces the risk of clinically relevant drug interactions [27]. This is especially important considering that psychotic patients tend to present with comorbid pathologies requiring concomitant medications. It is therefore crucial that antipsychotics with little potential drug interaction be made available [47].

Distribution

A drug's distribution through an organism's different compartments depends on various factors, including the molecule's size, its solubility in lipids/water, and the extent of its plasma protein binding. In that vein, paliperidone's distribution is quick and extensive, as evidenced by its apparent V_{a^3} which is on the order of 487 L. Similarly, it gets well-distributed throughout the CNS according to the findings of displacement studies of 11C-raclopride that utilized positron emission tomography (PET) in a sample of healthy volunteers [31]. However, different quantities of risperidone and paliperidone do really manage to reach the central nervous system.

Plasma protein binding: Paliperidone, at therapeutic plasma concentrations between 50 and 250 ng/mL, binds primarily to α_1 -acid glycoproteins and albumin at a rate of 74% (82% for the (+) enantiomer and 65% for the (-) enantiomer), and this binding seems to be uninfluenced by gender, age, or renal functioning [19]. This binding is lower than that of risperidone, which binds to 90% of plasma proteins [48]. Paliperidone's lower propensity to bind with plasma proteins even further reduces its possible interactions with other drugs. In fact, in vitro studies conducted with high concentrations of several substances that bind to plasma albumin (diazepam, warfarina, carbamazepina) only produced a slight increase in available paliperidone [31],

leading us to believe that pharmacological interactions via protein displacement are unlikely with paliperidone, and certainly less likely than with risperidone.

Since paliperidone can bind to α_1 -acid glycoprotein, a special precaution must be taken for patients suffering from moderate to severe liver failure who therefore synthesize fewer of such proteins, as with all drugs that bind to α_1 -acid glycoprotein and albumin. Nevertheless, Boom et al. [49] confirmed that the pharmacokinetic profile of non-plasma protein-bound paliperidone was similar between patients with moderate liver failure and control subjects, so it is not necessary to adjust the dose in those patients.

Structural differences influence paliperidone's distribution in the brain: Placing a hydroxyl group in position 9 of the risperidone molecule, a seemingly small chemical change, creates structural differences between the two products that affect its passage through the BBB. Accordingly, the Brain/Blood ratio (logB/B) of paliperidone $(\log B/B = -0.67)$ is five times less than risperidone's $(\log B/B = -0.02)$ [50,51]. Paliperidone has less cerebral distribution for various reasons. First, there is a known, high correlation between a compound's dynamic polar molecular surface area and its ability to permeate cellular membranes, particularly the blood-brain barrier (BBB), through passive diffusion. The presence of an OH group at position 9 in paliperidone's molecular structure lends it greater dynamic surface area (74.28 A²) than risperidone has (57.32 A²). This makes the correlation between cerebral and plasma drug concentrations higher for risperidone. While both drugs can enter the CNS through passive diffusion, because paliperidone's dynamic polar surface area falls below the threshold of 120 A² [52], it does so more slowly, or less so, than risperidone.

Katritzky et al. [53] recently developed computerized models (CODESSA-PRO and ISIDA) to calculate blood/brain barrier coefficients (log BB), a measure of a drug's penetration of the BBB, estimating values between -0.18 and -0.24 for risperidone and between -0.98 and -0.70 for 9-OH-risperidone, respectively. These data indicate that risperidone and paliperidone both cross the BBB, but risperidone does so to a greater extent. This pharmacokinetic data may explain some of the existing differences in the two drugs' clinical profiles, and in the dosages of each substance recommended in clinical practice.

P-glycoprotein and differential cerebral distribution of paliperidone vs. risperidone: P-glycoprotein, or Pgp (Permeability-glycoprotein) is a protein in the glycated, phosphorylated plasma membrane, one of large dimensions (170 kDa) that is broadly distributed throughout the organism. It acts as a detoxifying and defense mechanism against what are referred to as anatomical "sanctuary sites" [54,55], eliminating or pumping toxic agents and xenobiotics, including drugs, from the inside of the cell by means of an ATP-dependent pump mechanism [56,57]. Pgp inhibition increases systemic exposure and tissue distribution of Pgp substrates, while its induction has the opposite effects [58-60].

According to studies conducted in vitro, among antipsychotics, risperidone and quetiapine are considered good substrates and therefore potential Pgp inhibitors. Both olanzapine and chlorpromazine are intermediate substrates, while clozapine and haloperidol are considered poor substrates [61].

Paliperidone (149.6 \pm 29.7 mM) has much lower affinity than risperidone (26.3 \pm 5.5 mM) for Pgp [62,63]. Zhu et al. [64] looked at cellular overexpression of Pgp (LLC-PK1/MDR1), finding that risperidone was between 3.8 and 2.2 times more inhibitory of Pgp than

paliperidone at the same concentration (Figure 3). Likewise, studies of knockout (KO) mice lacking Pgp reported a brain/blood ratio 14 times higher in KO mice than control mice for risperidone, and 11 times higher for 9-OH-risperidone; these differences were statistically significant [62]. In addition, they discovered significant differences between KO and control mice in brain/blood and brain/brain ratios for paliperidone [55-61]. Altogether, these data suggest that paliperidone, at least at therapeutic concentrations, cannot be considered a PgP inhibitor, differentiating it from risperidone [51-65]. Therefore, its presence in the CNS is limited by its expulsion through the PgP efflux pump. What is more, it seems unlikely that paliperidone interferes to a clinically relevant extent with the functioning of P-glycoprotein, whether encouraging its accumulation or that of other drugs at the cerebral level [66].

In light of the above, though no studies have been conducted in humans, mounting evidence collected in animals and through in vitro studies supports the notion that paliperidone has more difficulty penetrating the CNS than risperidone. This may explain risperidone's higher potency and toxicity compared to paliperidone. Furthermore, higher plasma concentrations of paliperidone are needed to reach levels in the brain similar to those of risperidone [23].

Elimination

As discussed above, paliperidone may be considered to have a low index of hepatic extraction in that its plasma clearance (80 ml/min) is somewhat lower than its hepatic plasma flow (700 ml/min). Paliperidone's elimination half-life ($T_{1/2}$) is 20-25 hours regardless of dose, how it is administered, and pharmaceutical formulation. Generally speaking, paliperidone is excreted mostly in the urine (80%) unaltered (60%), while a small amount is excreted as feces (11%). As for renal clearance, the clearance of unaltered paliperidone is 53 ml/min; 50% of that is through glomerular filtration (CL_{ACT} : 27.2 ml/min) and the other 50% through active mechanisms (CL_{ACT} : 27.2 ml/min) [19,31].

Tubular secretion through active transport seems to play a critical role in eliminating 9-OH-risperidone, probably via organic cation transport. Nevertheless, administering trimethoprim, a strong inhibitor of organic cation transport, does not significantly affect paliperidone ER's pharmacokinetic parameters [67].

Bear in mind that paliperidone clearance is proportional to renal functioning, such that in patients with severe renal failure, it is 71% lower than in control subjects. Similarly, in patients with slight renal failure (renal clearance of creatinine between 50 and 80 ml/min), doses of paliperidone ER should not exceed 6 mg/day. In patients with moderate-severe renal failure (creatinine clearance between 10 and 50 ml/min), the maximum dose falls to 3 mg/day [19,31].

Half life ($T_{1/2}$): One of the main differences between risperidone and paliperidone ER lies in their respective half lives ($T_{1/2}$): 2.8h and 24.8 hours (Table 1). $T_{1/2}$ provides clinically applicable information by indicating the time the drug needs to be eliminated after treatment is discontinued, the number of doses per day, and fluctuation in plasma levels over the course of the day. $T_{1/2}$ addresses the possible link between the drug's permanence in the organism and its beneficial or harmful effects. In addition, $T_{1/2}$ is a useful tool to evaluate the time needed for the drug to reach a steady state, and a valuable measure of its longevity in the organism. After administering medication, an estimated five half lives are needed to eliminate 96% of it, and almost six half lives to eliminate 98% [23]. With this in mind, and given risperidone's short half-life (2.8 h), taking it twice a day would result in lower peaks, making it more tolerable than a once daily dose. Conversely, paliperidone ER's long half-life (24.8 h) allows for one daily dose for lower peaks, fewer fluctuations, and improved tolerability [23].

Pharmacodynamic Differences: Paliperidone Er Vs. Risperidone

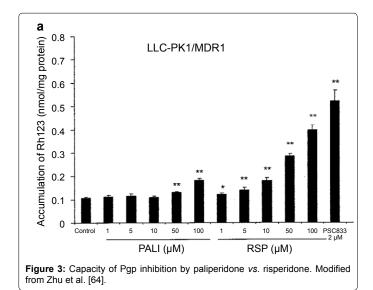
Differences between paliperidone and risperidone are not limited to pharmacokinetic aspects; certain pharmacodynamic distinctions may have a clinical impact. Below, we will discuss the differential properties of paliperidone and risperidone within the framework of the hypothesized mechanism for atypical antipsychotics' activity, and sources of adverse effects tied to receptor profile.

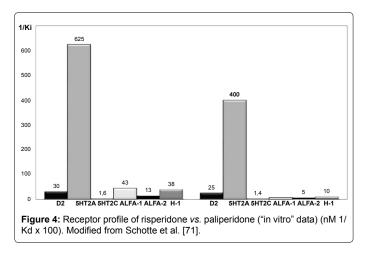
Differential profile of paliperidone vs. risperidone and dopamine D, receptors

In 1976, Solomon H. Snyder reported that neuroleptics were dopamine D_2 receptor antagonists and that their effects were proportional to clinical potency and to extrapyramidal and endocrine effects [68]. Later, through neuroimaging studies (PET, SPECT) in humans, an antipsychotic effect was linked to blocking more than 65% of D_2 receptors. It was also established that occupancy levels over 72% of D_2 receptors located in the tuberoinfundibular pathway were responsible for prolactin elevation. Meanwhile, occupancy of over 80% of striatal D_2 receptors yielded adverse extrapyramidal effects [69]. Hence, we must maintain the therapeutic window between 65% and 80% to yield therapeutic effects with no extrapyramidal side effects.

Precisely paliperidone differs from risperidone, among other things, on its ability to block dopamine D_2 receptors. Along those lines, several experimental studies have shown paliperidone to occupy fewer of said receptors. Receptor occupancy (affinity), determined by ED_{50} values (effective dose to occupy 50% of receptors) (nM) of dopamine D_2 receptors in "ex vivo" rat brains: 2.92 nM for risperidone and much higher, 8.68 nM, for paliperidone [70]. These data convey that paliperidone's D_2 receptor affinity is approximately 3 times lower than risperidone's (Figure 4).

By the same token, receptor binding studies have determined the dissociation constant $(\rm K_d)$ in the caudate nucleus of human brains





"post mortem," confirming risperidone's higher affinity ($K_d = 3.77$ nM) for these receptors than paliperidone's ($K_d = 2.8$ nM) [72].

Furthermore, neuroimaging studies of schizophrenic patients reported that paliperidone ER's occupancy of striatal and temporal lobe receptors had ED_{50} values of 2.38 and 2.84 mg/day, respectively [50]. Earlier studies of risperidone found a striatal ED_{50} value of 1.2 mg/ day [73] and a limbic lobe ED_{50} value of 1.46 mg/day [74]. These studies' findings suggest a 1:2 ratio between risperidone and paliperidone ER doses would be apt.

In this study, paliperidone ER at a dose of 6 to 9 mg/day yielded occupancy of 70 to 80% of striatal and temporal lobe dopamine D_2 receptors. Paliperidone ER's estimated occupancy of D_2 receptors at a dose of 6 mg/day was around 72%. This dose could be considered suitable, because it maintains D_2 receptor occupancy levels above the threshold of effectiveness, yet below the threshold of extrapyramidal side effects [50]. By the same token, it is accepted that antipsychotic efficacy be linked to D_2 receptor occupancy in the basal ganglion over 65%, but when occupancy exceeds 80-85%, extrapyramidal side effects increase [29]. Given paliperidone ER's lower occupancy of D_2 receptors, and that its plasma levels fluctuate less [75], it should in theory have less extrapyramidal effects than risperidone [76].

In addition, quantitative autoradiographic studies show that ED₅₀ two hours after administering the antipsychotic is lower for risperidone than paliperidone in several areas of the brain: the nucleus accumbens (ED₅₀: 0.75 vs. 2), olfactory tubercle (ED₅₀: 0.96 vs. 4.1), striatum (ED₅₀: 1.2 vs. 3.7), and the pars compacta within the substancia nigra (ED₅₀: 1 vs. 3.3). These data hold that risperidone has a greater capacity for D₂ receptor occupancy than paliperidone, and therefore more intensely blocks said receptors. This is an important consideration; to yield an antipsychotic effect equivalent to that of risperidone, one would need a higher dose of paliperidone [71].

In effect, there seems to be a certain correlation between plasma levels of antipsychotics and the incidence of extrapyramidal symptoms. In the case of risperidone, the dosage threshold for parkisonism is around 6 mg/day [77], somewhat lower than that of paliperidone ER.

However, dose is not the sole influence in the genesis of extrapyramidal side effects; fluctuations in antipsychotics' plasma levels also seem to be of considerable import. For example, Yoshimura et al. [78] established in schizophrenic patients that a 4 mg/day dose of risperidone produced extrapyramidal side effects only in patients who exhibited plasmatic fluctuations of the antipsychotic and its metabolite. Nevertheless, with longer-lasting risperidone injections, fewer extrapyramidal side effects occurred. That may be due to the fact that the injectable drug's C_{max} (maximum concentration) in plasma was 30% lower than in tablet form. The tablets are associated with fewer plasmatic fluctuations [79].

There are fewer fluctuations in plasma levels of paliperidone ER, which is considered one of the osmotic drug form's best attributes. In a study conducted in a sample of 4 healthy volunteers administered a single, 6mg dose of paliperidone ER, average dopamine D_2 receptor occupancy was 64% after 22 hours and 53% after 56 hours [80]. A different study by the same research group examined paliperidone ER's striatal D_2 receptor occupancy compared to an immediate release pharmaceutical form (drug not commercialized), reporting minimal fluctuation in D_2 receptor occupancy (75-78%), 6 times lower than what was obtained with immediate release paliperidone ER at nigrostriatal D_2 receptors translates to a favorable profile in terms of extrapyramidal side effects; this was confirmed in clinical trials of this antipsychotic [82].

According to an analysis of data collected from several clinical studies, rates of extrapyramidal effects associated with paliperidone ER doses of 3 and 6mg/day were similar to those observed with a placebo [83]. However, at higher doses (9 and12mg/day), extrapyramidal effects increased, surpassing what was observed in the placebo condition. These effects were categorized as slight or moderate and only two patients discontinued treatment because of said effects [84]. A year-long study reported that no patients worsened due to extrapyramidal side effects, and a drop-out rate of less than 1% [85]. The incidence of extrapyramidal side effects in elderly individuals treated with paliperidone is generally low, with hypertonia, tremor, and akathisia occurring in only two of the study's 76 patients (3%). In patients taking the placebo, akathisia was reported in one case. During the 6-month span, none of the elderly individuals treated with paliperidone ER discontinued treatment due to secondary, extrapyramidal issues [86].

On the other hand, risperidone and paliperidone are known to heighten prolactin secretion. Risperidone causes dose-dependent hyperprolactinemia [87,88], which some studies have shown [89-90], correlates directly with its metabolite, 9-OH-risperidone. In a 6-day study comparing the two substances, paliperidone ER at a dose of 12 mg/day was found to produce an increase in serum concentrations of prolactin similar to what risperidone would produce at 4 mg/day [91]. Paliperidone ER, at 3 daily doses of 12mg/day, had an incidence of adverse effects related to prolactin elevation (1-2%) similar to what was observed in the placebo condition, but that rate went up (4%) when the dose of paliperidone ER rose to 15 mg/day [85]. Keep in mind that the dopamine receptors that control prolactinemia are not protected by the BBB, so plasma levels of the antipsychotics are responsible for higher or lower prolactin release [92]. In that sense, we know paliperidone crosses the BBB less than risperidone, which could explain the risperidone metabolite's involvement in prolactin release.

Speed of dissociation from the dopamine D_2 receptor for paliperidone vs. risperidone

Another way to explain how an antipsychotic could improve extrapyramidal tolerability is the speed with which it dissociates from the D_2 receptors [93,94]. It is been demonstrated that some atypical agents have the ability to dissociate quickly from the receptor, while classical drugs do so more slowly.

By way of example, note that the speed of dissociation from dopamine D_2 receptors in humans is slow for haloperidol,

chlorpromazine, and raclopride (around thirty minutes). Some atypical antipsychotics exhibit an intermediate speed of dissociation from the D_2 receptor, including olanzapine, asenapine, sertindole, ziprasidone, and risperidone. However, other atypical antipsychotics dissociate quickly, like clozapine, quetiapine, amisulpride, remoxipride, and paliperidone, in nearly 1 minute [76]. In terms of dissociation speed, paliperidone fits the latter profile (Figure 5).

Kapur and Seeman [93,94] suggest that fast dissociation from dopamine D_2 receptors, in addition to producing an antipsychotic effect as a dopamine antagonist, better accommodates physiological dopamine transmission, so fewer of the extrapyramidal effects associated with nigrostriatal modulation occur. Furthermore, by enhancing the functioning of endogenous dopamine binding, these antipsychotics bear cognitive and emotional benefits and improve negative symptoms displays data on dissociation from dopamine D_2 receptors for a series of antipsychotics studied in vitro. It is noteworthy that paliperidone's dissociation time from human cloned D_2 receptors is noticeably lower (1 minute) than risperidone's (27 minutes) [76]. Clearly, the theory of fast dissociation from the receptor (fast-off) is not valid for every antipsychotic that behaves atypically, yet it does draw a distinction between the behavior of risperidone (slow-off) and its active metabolite, paliperidone (fast-off).

Thus, a paradigm shift has occurred from considering extrapyramidal effects to be an outcome of the proportion of receptors blocked (usually over 80%), to an outcome of the antipsychotic's speed of dissociation from the receptor, such that more adverse effects occur the longer the antipsychotic takes to leave a given D_2 receptor [95]. This hypothesis would explain paliperidone's low risk of extrapyramidal effects considering, its fast dissociation.

On the other hand, the incidence of extrapyramidal effects reported in risperidone use, especially at high doses, may be due to its high capacity for D_2 blockade, coupled with slow dissociation speed. Ergo, its atypicality can be explained by other mechanisms. First of all, its higher capacity for 5-HT_{2A} blockade speaks to the drug's atypicality. Yet it is also crucial to consider that risperidone is converted into its active metabolite, 9-OH-risperidone, which quickly dissociates from dopamine D_2 receptors, so the higher the rate of conversion into its metabolite, the fewer extrapyramidal effects it will have.

Serotonin 5-HT_{2A} receptor occupancy>D₂ as hypothesis for atypicality

Presently, the most plausible hypothesis for the "atypicality" of antipsychotics posits "dopamine-serotonin antagonism" and was conceived of by Janssen et al. [96] and popularized by Meltzer et al. [97]. According to this theory, greater ability to block 5-HT $_{\rm 2A}$ than $\rm D_2$ receptors $(5-HT_{2A}>D_2)$ confers atypicality to an antipsychotic. Along those lines, 5-HT $_{2A}$ antagonism can increase dopaminergic transmission in the nigrostriatal pathway, reducing the risk of extrapyramidal effects. Furthermore, this relationship of 5HT₂₄>D₂ blockade in the prefrontal cortex would improve negative, cognitive symptoms of schizophrenia by increasing dopamine and acetylcholine release [98]. However, 5-HT_{2A} blockade does not affect the mesolimbic pathway, so it would not increase dopamine release in that area, leaving the atypical agent's antipsychotic properties unhindered [99]. Most antipsychotics' atypicality, asenapine, clozapine, iloperidone, olanzapine, paliperidone, quetiapine, risperidone, sertindole, ziprasidone, and zotepine, for example, could be explained by this hypothesis since they all blockade 5-HT_{2A} and D₂ receptors such that5-HT_{2A}>D₂ [100,101].

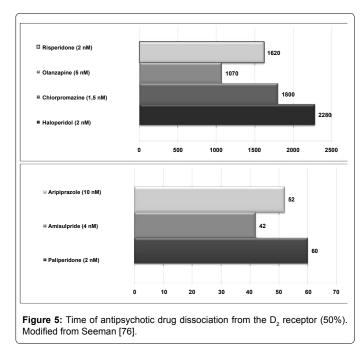
In that vein, the hypothesis above does not contradict that of

Kapur and Seeman [93,94] about speed of dissociation from D_2 receptors; rather, they complement one another. Increased dopamine release, a secondary effect of 5-HT_{2A}, facilitates antipsychotics' speed of dissociation from its receptors [16].

Notwithstanding that contribution, this hypothesis is not without limitations. For example, the atypical antipsychotic amisulpride has low affinity for 5-HT_{2A} receptors. Aripiprazole has greater D₂ affinity than 5-HT₂₄ affinity despite being an atypical antipsychotic. Also, two classical antipsychotics, chlorpromazine and loxapine, have greater affinity for 5-HT_{2A} than D_2 , yet they do not meet the atypical profile. Additionally, risperidone and olanzapine, which exhibit 5-HT₂₄>D₂ receptor occupancy, can cause extrapyramidal effects at high doses despite very elevated 5-HT $_{\rm 2A}$ occupancy. Therefore, higher 5-HT $_{\rm 2A}{>}{\rm D}_{\rm 2}$ affinity may promote dopamine release in the striatum and prefrontal cortex, but it does not protect against extrapyramidal symptoms if D₂ receptor occupancy passes a certain threshold [101,102]. Therefore, these receptors' participation in atypical antipsychotic activity seems to be more a result of dominance in dopamine D₂ blockade than of isolated 5-HT $_{\rm 2A}$ antagonist ability. Moreover, higher doses of atypical agents could make that dominance disappear [95]. Despite these limitations, the hypothesis that best captures atypicality is the one that postulates greater 5-HT_{2A} blockade than D_2 blockade [95,103].

With the above in mind, utilizing neuroimaging techniques in schizophrenic patients being treated long-term with antipsychotics, it has been observed that risperidone's occupancy is approximately 80% for dopamine D_2 receptors in the striate nucleus, and between 86 and 93% for serotonin 5-HT_{2A} receptors in the cerebral cortex. Other authors reported similar data about clozapine, olanzapine, quetiapine, and ziprasidone [104-108]. In contrast, administering classical neuroleptics blocks between 70 and 90% of striatal D_2 receptors and does not significantly occupy serotonin 5-HT_{2A} receptors [100,109].

Paliperidone's binding to 5-HT_{2A} receptors is 0.25 nM, compared to risperidone's 0.16 nM [76]. Data collected in rats by Schotte et al. [70] are on the order of 0.15 nM for risperidone and 0.82 nM for paliperidone. In both cases, paliperidone's affinity for 5-HT_{2A} receptors



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is lower than risperidone's. However, paliperidone's ability to block 5-HT_{2A} receptors is almost 20 times higher than its blockade of D₂ receptors, fitting the hypothesis that antipsychotics' atypicality lies in their serotonin vs. dopamine antagonism.

The fact that paliperidone ER exhibits receptor occupancy such that 5-HT_{2A}>D₂ with little plasma fluctuation, the ability to gradually occupy D₂ receptors, and quick dissociation from said receptors, are all grounds to consider it an atypical antipsychotic with a lower risk of extrapyramidal side effects than risperidone has [76,110].

Occupancy of other receptors by paliperidone and risperidone

In general, antipsychotics are not very selective from the perspective of receptors, so their activity at non-target receptors ought to be considered, since that can alter overall neural performance and is responsible for certain therapeutic peculiarities and above all, adverse effects. Many of these agents simultaneously interact with different types of receptors (dopaminergic, serotonergic, histaminergic, a-adrenergic, muscarinic), which has an impact on the overall response of neurons and functional systems involved in their effectiveness and tolerability [111]. Though not all functions of each receptor are exactly known, understanding each antipsychotic's receptor profile, heeding its efficacy and any secondary effects is useful in guiding patients toward choosing an appropriate medical regimen [112].

5-HT_{2C} receptor blockade seems to be closely linked to antipsychotic-induced weight gain, but it is not solely responsible for weight gain [113,114]. Neither risperidone nor paliperidone is believed to show significant affinity for said receptor.

Some antipsychotics can boost appetite and weight by jointly blocking receptors 5-HT_{2C} and H_1 [115]. H_1 and 5-HT_{2C} -antagonist antipsychotics include clozapine, olanzapine, and quetiapine, and correlate with weight increase in patients.

Despite the fact that risperidone has lower H_1 receptor affinity, weight gain is also associated with the atypical agent, especially in children and adolescents [116]. Paliperidone has less affinity for receptors 5-HT_{2C} and H_1 than risperidone, so weight gain should be more moderate. An analysis of the data collected in three controlled studies elucidated that paliperidone ER was no different from the placebo after 6 weeks of treatment, in terms of weight, lipid parameters, or blood sugar [117]. Nevertheless, an additional increase of 1.2 kilograms was observed in patients who continued treatment with paliperidone ER in three randomized studies conducted over the course of 52 weeks [118].

Certain antipsychotics' ability to block adrenergic α_1 receptors seems to explain their potential to bring about cardiovascular or orthostatic effects, erectile dysfunction (impotence), akinesia, and sedation. Risperidone is one such drug (K_d=2.7 ± 0.3 nM). Paliperidone's affinity for these receptors is almost 4 times lower than risperidone's. However, there are data that point to possible orthostasis, but that risk is lower than in the case of risperidone [118].

Likewise, certain atypical antipsychotics can also block α_2 adrenergic receptors. Risperidone is among the drugs most capable of blocking these receptors (K_d=8 ± 1 nM). α_2 -adrenergic receptors in the prefrontal cortex promotes dopamine release and can contribute to the cognitive improvement that antipsychotics bring about [95,100]. Paliperidone has less affinity for said adrenergic receptors (K_d=80 ± 10 nM) than risperidone [72].

Paliperidone has a low affinity for muscarinic receptors, producing

scarcely any central or peripheral anticholinergic effects [119]. What is more, said lack of anticholinergic effects may be beneficial in terms of certain psychotic symptoms, like visual hallucinations, disorientation, and agitation, and in the cognitive sphere (learning and memory), but these hypotheses must be compared through apt, specific clinical trials [27]. Paliperidone has low β -adrenergic receptor affinity [72].

The differential electrophysiological profile of paliperidone vs. risperidone

A neuron's electrophysiological behavior is a manifestation of pharmacological activity at its different receptors. Along those lines, risperidone is known, independently of dose, to inhibit neuronal release of serotonin, while paliperidone is unable to modulate that release. Recovery of serotonin release inhibited by risperidone can be achieved by jointly administering a 5-HT_{1A} (WAY 100635) receptor blockade and a norepinephrine reuptake inhibitor (desipramine). Hence, we can conclude that risperidone inhibits the release of 5-HT neurons by activating autoreceptors 5-HT_{1A} and blocking α_1 receptors, leading us to believe paliperidone is less active at these two receptors than risperidone [110].

Although paliperidone does not alter norepinephrine release per se, it can revert escitalopram-induced suppression of norepinephrine release without changing the SSRI's role in serotonin release. This can be a useful adjuvant treatment in refractory depression. Similarly, paliperidone can be considered different from other atypical antipsychotics. It does not inhibit neuronal release of serotonin like olanzapine and clozapine by inhibiting α_1 receptors, and unlike ziprasidone and aripiprazole, it is not a 5-HT_{1A} receptor agonist [110]. The differences between paliperidone and risperidone, as far as norepinephrine release in vivo, may be due to its low capacity to bind at 5-HT_{2A} and α_1 -receptors, observed in vitro [71].

Differential profile of paliperidone: neurotoxicity and neuroprotection

Recent studies' findings suggest that some atypical antipsychotics may have a neuroprotective impact that could be involved in cognitive improvement, or at least preventing the progression of an illness and its associated impairment, though these effects have not been reported consistently [120]. On another note, antipsychotics' neurotoxic effects have been linked to secondary effects, particularly extrapyramidal side effects and tardive dyskinesia. It is been demonstrated in vitro that classical antipsychotics, including haloperidol, reduce neuronal cells' viability [121], causing apoptosis, necrosis, and increasing oxidative stress [122,123]. Furthermore, in vitro studies have shown that while haloperidol is apparently neurotoxic, some atypical antipsychotics have shown antiapoptotic properties [124].

On another note, it has been shown that haloperidol, through intracellular accumulation of peroxides followed by depletion of intracellular glutathione, can cause oxidative stress in hippocampal cells [125]. Classical antipsychotics, clozapine, quetiapine, and risperidone can protect PC12 cells by regulating the expression of copper/zincdependent superoxide dismutases (SOD1) [126].

In an ex vivo study, paliperidone induced expression of proteins in the prefrontal cortex similar to that of lithium and valproic acid. These changes could affect oxidative phosphorylation, electron transport, metabolism of carbohydrates, and postsynaptic signal transduction. According to these results, like lithium and valproic acid, paliperidone is involved in neuronal signaling pathways, energetic metabolism,

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and synaptic plasticity, so it is postulated to have a mood stabilizing mechanism like that of lithium and valproic acid [127].

Haloperidol and the atypical antipsychotic clozapine have been reported to have toxic effects in both neuroblastoma cell lines (SH-SY5Y) and monocytes (U937). Both cell lines are considered to be involved in the pathogenesis of schizophrenia. In this model, low concentrations of risperidone (1.6–12.5 μ g/mL) increase cell survival, while paliperidone significantly improves survival of SH-SY5Y cells and U-937 cells at a concentration of 1.6 μ g/mL [128].

A study of SH-SY5Y cells was conducted, applying various aggressive substances (MPP+, A β 25-35 and hydrogen peroxide) during treatment with antipsychotics: haloperidol, olanzapine, risperidone, and paliperidone. After 24 hours of treatment, paliperidone had the lowest basal toxicity over other antipsychotics. Furthermore, the group treated with paliperidone showed significantly higher cell survival than the cultures treated with other antipsychotics. At low concentrations (10 and 50 μ M), paliperidone showed itself to be effective against A β 25-35 and MPP+. In addition, paliperidone was the only antipsychotic that could protect SH-SY5Y cells against hydrogen peroxide.

It (100 μ M) completely diminished cell death caused by the aggressive substances mentioned above, regardless of their concentration. Compared to other antipsychotics, paliperidone proved better able to control oxidative stress by generating glutathione, decreasing HNE (4-hydroxy-2-nonenal), and producing carbonyl. Olanzapine, on the other hand, under similar conditions, increased HNE and carbonyl production, which might explain its cytotoxicity [33].

A recent study conducted on cultures of human neuroblastoma cells compared the effects of haloperidol, risperidone, and paliperidone (10, 50, 100 μ M), both alone and accompanied by dopamine, on the cells' viability and caspasa-3 activity. Haloperidol, both alone and accompanied by dopamine, significantly decreased cellular viability while increasing caspase-3 activity and cell death. In contrast, neither risperidone nor paliperidone had an impact on cellular viability or cell death. Both atypical antipsychotics' caspase-3 activity declined, especially paliperidone's. In cells treated with dopamine as well as an antipsychotic, only paliperidone (10 µM) brought about slight improvement in cellular viability. While haloperidol sparked a dopamine-induced increase in caspase-3 activity, risperidone and paliperidone showed less of such an effect. These results reveal that haloperidol causes apoptosis, while risperidone and paliperidone can protect against apoptosis. Along those lines, paliperidone consistently showed itself to be strongest neuroprotector of the group [124].

These data together suggest that paliperidone, from the point of view of neurotoxicology and neuroprotection, behaves differently from classical and other atypical antipsychotic drugs, also setting itself apart from risperidone.

Conclusions

Paliperidone, as active metabolite of risperidone, is quantitatively different from risperidone from the perspective of pharmacodynamics as well as pharmacokinetics.

Experimental and clinical studies indicated that paliperidone ER differs from other classical and atypical antipsychotics, even from risperidone. Paliperidone ER's theoretical advantages in terms of efficacy and tolerability, compared to risperidone and possibly other second-generation antipsychotics, are linked to its formulation, pharmacokinetics, and to a lesser extent, its pharmacodynamic profile. Paliperidone ER's osmotic formulation (OROS technology) provides constant release of the primary agent for 24 hours and reduces fluctuations in plasma levels of the drug. This formulation allows for a single daily dose to be taken, which is easier to accomplish and does not require initial dose titration. On the other hand, paliperidone's gradual, continuous release facilitates stable occupancy of D_2 receptors, which can provide constant therapeutic effectiveness with fewer peaks and troughs, as well as a lower incidence of side effects. Paliperidone is hardly metabolized by CYP450 isoenzymes, which entails a lower probability of hepatic overload and, above all, drug interactions for patients with polypharmacy.

Furthermore, paliperidone ER more quickly dissociates from dopamine D_2 receptors than risperidone, which may indicate a lower incidence of extrapyramidal side effects. Paliperidone binds at α -adrenergic receptors less than risperidone, though that does not rule out risk of orthostatic hypertension. Also, it binds less at H_1 receptors than risperidone and does not block 5-HT_{2C} receptors, so its propensity for weight increase is considered intermediate within its atypical class. Paliperidone seems to be the metabolite responsible for risperidoneinduced prolactin secretion, probably because it crosses the BBB to a lesser extent, and receptors on the tuberoinfundibular pathway are unprotected. Last, paliperidone ER can prolong the QTc interval, so it must be used with caution in patients who are predisposed to cardiac irregularity.

Finally, paliperidone's possible neuroprotective role could differentiate its pharmacodynamic profile from that of other antipsychotics, including risperidone.

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